

Form PTO-1449 (Rev.)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. 20257US (C38435/110665)	SERIAL NO. 09/448,356
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)		APPLICANT David BURDICK, et al.	
		FILING DATE November 23, 1999	GROUP # 3 1614 1616

U.S. PATENT DOCUMENTS

Examiner Initial	Cite No.	U.S. Patent Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
<i>SCB</i>	A1	4,588,717	5/1986	Mitchell	<i>514</i>	<i>170</i>	
<i>SCB*</i>	A2	5,502,045	3/1996	Miettinen, et al.	<i>514</i>	<i>182</i>	
<i>*Duplicate, cited in 892.</i>							

FOREIGN PATENT DOCUMENTS

		Document Number	Date	Country	Class	Subclass	Translation	
							Yes	No
	B1	WO 96/38047	12/1996	PCT			<input checked="" type="checkbox"/>	
	B2	WO 97/42830	11/1997	PCT			<input checked="" type="checkbox"/>	
<i>SCB</i>	B3	WO 98/06405	2/1998	PCT			<input checked="" type="checkbox"/>	
	B4	WO 99/25361	5/1999	PCT				<i>No</i>
	B5	EP 0 792 586 A2	9/1997	Europe			<input checked="" type="checkbox"/>	
	B6	DE 197 50 422 C1	11/1998	Germany				<i>No</i>

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	C1	Weststrate, et al., "Plant sterol-enriched margarines and reduction of plasma total-and LDL-cholesterol concentrations in normocholesterolaemic and mildly hypercholesterolaemic subjects," <u>European Journal of Clinical Nutrition</u> , Vol. 52, pp. 334-343 (1998)
	C2	Kuksis, et al., "Fatty acid composition of individual plasma steryl esters in phytosterolemia and xanthomatosis," <u>Lipids</u> , Vol. 21, No. 6, pp. 371-377 (1986)
<i>SCB</i>	C3	Vanhanen, et al. "Serum cholesterol, cholesterol precursors, and plant sterols in hypercholesterolemic subjects with different apoE phenotypes during dietary sitostanol ester treatment," <u>Journal of Lipid Research</u> , Vol. 34, pp. 1535-1544 (1993)
	C4	Yanagita, et al., "Cholesterol-lowering effect of Agemaki, a kind of shellfish, in mice," <u>J. Nutr. Sci. Vitaminol.</u> , Vol. 37, pp. 313-318 (1991)
	C5	Harris, "n-3 fatty acids and lipoproteins: comparison of results from human and animal studies," <u>Lipids</u> , Vol. 31, No. 3, pp. 243-252 (1996)
	C6	Ling, et al., "Dietary phytosterols: a review of metabolism, benefits and side effects," <u>Life Sciences</u> , Vol. 57, No. 3, pp. 195-206 (1995)
	C7	Derwent English language abstract of DE 197 50 422 C1 (document B5)
EXAMINER <i>SABIHA QAZI</i>		DATE CONSIDERED <i>8/15/00</i>
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U.S. PATENT DOCUMENTS

Examiner Initial	Cite No.	U.S. Patent Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
SCB	A3	4,705,875	11/1987	Mitchell			
	A4	5,593,691	1/1997	Eugster, et al.			

FOREIGN PATENT DOCUMENTS

		Document Number	Date	Country	Class	Subclass	Translation	
							Yes	No
SCB	B7	WO 00/04887	2/20000	PCT				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

SCB	C8	Shimada, et al., "Enzymatic Synthesis of Steryl Esters of Polyunsaturated Fatty Acids," <u>JAOCS</u> , Vol. 76, No. 6, pp. 713-716 (1999)
EXAMINER	Sabiha Qayy	
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